



Uncertified Handler Declaration

The purpose of this form is to verify eligibility for the exclusion from certification under §205.101(b)(1). This form must be completed by any uncertified operation in your supply chain that sells and/or handles agricultural products labeled as "100 percent organic," "organic," or "made with organic" (specified ingredients or food group(s))."

Certified organic operations must maintain records sufficient to demonstrate compliance. Certified operations may only source from uncertified handlers who provide full supplier traceability back to the last certified operation for each shipment. This means:

- *Purchase invoices, BOL, and other audit trail records must designate products as organic and include a description of the product and amount transferred.*
- *Uncertified handler audit trail records must link directly back to the last certified operation, including transport, storage, processing/handling, shipping, and/or distribution. Documents generated by the last certified operation proving purchase/delivery/transfer to the uncertified handler must be available.*
- *The last certified operation must be listed on invoices and/or lot numbers applied by the last certified operation must match lot numbers on uncertified handler audit trail records.*
- *For each delivery, uncertified handlers must provide a complete, current organic certificate for the last certified operation, as well as import documentation as relevant.*
- *All certified and uncertified suppliers must be approved by the certifier as part of the certified operation's Organic System Plan (OSP).*

Traceability will be verified as a part of the certified operation's audit and review. If organic product cannot be traced back to the last certified operation, the certified organic operation making purchases will not be allowed to source organic products from the uncertified handler.

1. Describe the services that your facility conducts.

<i>Please answer the following questions. Does your operation:</i>	Yes	No
take financial ownership of any organic product?	<input type="checkbox"/>	<input type="checkbox"/>
handle any organic products that are not enclosed in a package or container when you receive them?	<input type="checkbox"/>	<input type="checkbox"/>
open packages or containers of organic products?	<input type="checkbox"/>	<input type="checkbox"/>
relabel any organic products, including the application of a label that obscures the original label and/or lot number/code?	<input type="checkbox"/>	<input type="checkbox"/>
ever combine or split loads of bulk/unpackaged products?	<input type="checkbox"/>	<input type="checkbox"/>
pesticide fog or apply pesticide sprays?	<input type="checkbox"/>	<input type="checkbox"/>
process any organic products including but not limited to separating, repacking, sorting, reconditioning, culling, heating, chilling, freezing, icing, hydrocooling, hydro vacuum, washing, high pressure processing (HPP), ethylene or controlled atmosphere treatment or any other processing?	<input type="checkbox"/>	<input type="checkbox"/>
import or export organic products?	<input type="checkbox"/>	<input type="checkbox"/>

2. If you answered yes to any of the above questions, please explain:

3. What do you do when incoming packages or containers of organic product have been damaged?

4. Describe the measures implemented to prevent commingling of organic and nonorganic products:

5. Describe the measures implemented to prevent contamination of organic products from substances such as cleaners, sanitizers, and pest control products:

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6. Explain how you maintain audit trail records sufficient to track organic product back to the last certified organic entity, including original lot number:

7. Describe how frequently you change organic suppliers and how the certified organic operation can verify the source, volume, and certification, and import compliance of each shipment. You may attach sample documents to demonstrate your system.

8. Do you agree to provide copies of audit trail records to the certifier upon request?

Yes No

If no, please explain:

§ 205.100 (c) Any operation that:

(1) Knowingly sells or labels a product as organic, except in accordance with the Act, shall be subject to a civil penalty of not more than the amount specified in §3.91(b)(1) of this title per violation.

(2) Makes a false statement under the Act to the Secretary, a governing State official, or an accredited certifying agent shall be subject to the provisions of section 1001 of title 18, United States Code.

I declare under penalty of perjury (under the laws of the United States of America) that the foregoing is true and correct.

Signature		Date
Printed Name		Title
Name of handling operation (please include any alternative names your operation may do business under):		
Address:		
City:	State:	Zip:
Phone:	Email:	Website:

Pennsylvania Certified Organic (PCO)

pco@paorganic.org • www.paorganic.org • 106 School Street, Ste 201 • Spring Mills, PA 16875 • 814.422.0251 • fax 814.422.0255